













## The Corporation of the City of London

January – December 2019 internal audit dashboard as at October 28, 2019

### Project status – 2019 internal audit plan

2019 Audit plan project	Percent complete	Est. timeframe <sup>1</sup>	Project status	Report issued
• Parking enforcement assessment	 100%	Jun – Aug		
• Smart City Office pre-implementation assessment	 25%	Aug – Dec	DL*	
• Electronic fund transfer compliance assessment	 50%	Sept – Nov	OT	
• Dearness Home process assessment	 45%	Nov – Jan	OT	
• IT cyber risk workshop	 100%	Jun – Jul		
• Computerized Maintenance Management System (CMMS) pre-implementation review	 35%	Sept - Nov	OT	





### Internal audit activities – November to December 2019

- IT Security Assessment (reporting)
- Smart City Office pre-implementation assessment (scoping & fieldwork)
- Electronic fund transfer compliance assessment (fieldwork and reporting)
- Dearness Home process assessment (fieldwork and reporting)
- Computerized Maintenance Management System (CMMS) pre-implementation review (fieldwork)

### Other activities

- Prepare Audit Committee meeting materials
- Observation follow-ups and validation

### Project status – 2017-2018 internal audit plan

2017-2018 Audit plan projects	Percent complete	Est. timeframe <sup>1</sup>	Project status	Report issued
• IT security assessment	 95%	Jul – Sept	DL*	
• Class replacement pre-implementation project review	 65%	Ongoing	DL*	

OT – On track

DF – Deferred

DL – Delayed

### Comments

<sup>1</sup> Agreed timing with management to scope project and kick-off fieldwork

\* - Due to a delay in Smart City Office management hiring, the pre-implementation assessment remains on-going with a delayed completion date of December 2019. IT Security Assessment Report is currently in review and finalization. Due to a delay for the Class replacement system go live date, the pre-implementation project review remains ongoing.

### 2019 Performance metrics

#### Project customer satisfaction

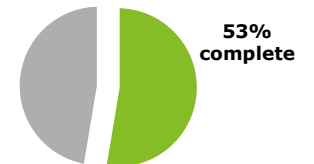
Overall quality of work/satisfaction level? (Based on completed reports surveys returned)

1 3 5



Objective = 4

#### % Complete of the 2019 internal audit plan



### Internal audit 2019 reporting

	Draft (days)	Management comment (days)	Issue final (days)	Final (days)
• Objective	5.0	15.0	10.0	30.0
• Performance	0.0	8.0	7.0	15.0