

## The Corporation of the City of London

January – December 2019 internal audit dashboard as at August 30, 2019

### Project status – 2019 internal audit plan

2019 Audit plan project	Percent complete	Est. timeframe <sup>1</sup>	Project status	Report issued
• Parking enforcement assessment	<div style="width: 75%;"></div> 75%	Jun – Aug	OT	
• Smart City Office (pre-implementation) assessment	<div style="width: 25%;"></div> 25%	Aug – Oct	OT	
• Electronic fund transfer compliance assessment	<div style="width: 25%;"></div> 25%	Sept – Nov	OT	
• Dearness Home process assessment	<div style="width: 25%;"></div> 25%	Nov – Jan	OT	
• IT cyber risk workshop	<div style="width: 50%;"></div> 50%	Jun – Jul	DL*	
• Computerized Maintenance Management System (CMMS) pre-implementation review	<div style="width: 25%;"></div> 25%	Sept - Nov	OT	

### Project status – 2017-2018 internal audit plan

2017-2018 Audit plan projects	Percent complete	Est. timeframe <sup>1</sup>	Project status	Report issued
• Construction procurement process assessment	<div style="width: 100%;"></div> 100%	Jan – Jun		
• IT security assessment	<div style="width: 60%;"></div> 60%	Jul – Sept	OT	
• Class replacement pre-implementation project review	<div style="width: 65%;"></div> 65%	Ongoing	DL*	

OT – On track

DF – Deferred

DL – Delayed

### Comments

<sup>1</sup> Agreed timing with management to scope project and kick-off fieldwork

\* - Due to the vacation schedules of both Deloitte and City stakeholders, the timing of the IT cyber risk workshop has been delayed until September 2019. Due to a delay for the Class replacement system go live date, the pre-implementation project review remains ongoing.

### Internal audit activities – September – November 2019

- IT security assessment (fieldwork and reporting)
- Parking enforcement assessment (reporting)
- Smart City Office (pre-implementation) assessment (fieldwork)
- Electronic fund transfer compliance assessment (fieldwork)
- Dearness Home process assessment (fieldwork)
- IT cyber risk workshop (workshop)
- Computerized Maintenance Management System (CMMS) pre-implementation review (fieldwork)

### Other activities

- Internal audit plan refresh for 2020 (September 2019)
- Prepare Audit Committee meeting materials
- Observation follow-ups and validation

### 2019 Performance metrics

#### Project customer satisfaction

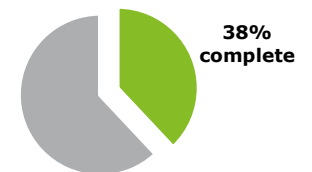
Overall quality of work/satisfaction level? (Based on completed reports surveys returned)

1                      3                      5



Objective = 4

#### % complete of the 2019 internal audit plan



### Internal audit 2019 reporting

	Draft (days)	Management comment (days)	Issue final (days)	Final (days)
• <b>Objective</b>	5.0	15.0	10.0	30.0
• <b>Performance</b>	N/A	N/A	N/A	N/A