# Deloitte.

# The Corporation of the City of London
## January – December 2019 internal audit dashboard as at June 10, 2019

### Project status – 2019 Internal audit plan

| 2019 Audit plan projects | Percent complete | Est. timeframe[1] | Project status | Report issued |
|---|---|---|---|---|
| • Parking enforcement assessment | 5% | June – Aug | OT | ◯ |
| • Smart City Office (pre-implementation) assessment | 0% | Aug – Oct | OT | ◯ |
| • Electronic fund transfer compliance assessment | 5% | Sept – Nov | OT | ◯ |
| • Dearness Home process assessment | 0% | Nov – Jan | OT | ◯ |
| • IT cyber risk workshop assessment | 0% | June – July | OT | ◯ |
| • Computerized Maintenance Management System (CMMS) pre-implementation review | 0% | TBD | OT | ◯ |

### Project status – 2017-2018 Internal audit plan

| 2017-2018 Audit plan projects | Percent complete | Est. timeframe[1] | Project status | Report issued |
|---|---|---|---|---|
| • Construction Procurement Process Assessment | 75% | Jan – Jun | OT | ◯ |
| • IT Security Assessment | 10% | Jun – Aug | OT | ◯ |
| • Class Replacement Pre-implementation Project Review | 65% | Ongoing | OT | ◯ |

OT – On track          DF – Deferred          DL – Delayed

### Internal audit activities – June – August 2019

- Parking enforcement assessment (scoping, fieldwork and reporting)
- Smart City Office (pre-implementation) assessment (scoping and fieldwork)
- Electronic fund transfer compliance assessment (scoping)
- Dearness Home process assessment (scoping)
- IT cyber risk workshop (planning and workshop)
- Computerized Maintenance Management System (CMMS) pre-implementation review (scoping)

### Other activities

- Internal audit plan refresh for 2020 (September 2019)
- Prepare Audit Committee meeting materials
- Observation follow-ups and validation

### 2019 Performance metrics

**Project customer satisfaction**

Overall quality of work/satisfaction level? (Based on completed reports surveys returned)

1          3          5

Objective = 4

**% complete of the 2019 internal audit plan**

5% complete

#### Internal audit 2019 reporting

| | Draft (days) | Management comment (days) | Issue final (days) | Final (days) |
|---|---|---|---|---|
| • Objective | 5.0 | 15.0 | 10.0 | 30.0 |
| • Performance | N/A | N/A | N/A | N/A |

### Comments

[1] Agreed timing with management to scope project and kick-off fieldwork