

The Corporation of the City of London

June 2017 - December 2018 internal audit dashboard as at January 28, 2019

Project status – 2017-2018 Internal audit plan			
2017-2018 Audit plan projects	Percent complete	Report issued	
• Parks & Recreation cash handling process review	<div><div></div></div>	100%	✓
• Freedom of information process assessment	<div><div></div></div>	100%	✓
• Management compensation process assessment	<div><div></div></div>	100%	✓
• Building permit process assessment	<div><div></div></div>	100%	✓
• Parking revenue generation assessment	<div><div></div></div>	100%	✓
• Homeless prevention assessment	<div><div></div></div>	100%	✓
• Procurement process assessment	<div><div></div></div>	100%	✓
• IT portfolio management and project management assessment	<div><div></div></div>	90%	○
• Housing process assessment	<div><div></div></div>	85%	○
• Health and safety assessment	<div><div></div></div>	85%	○
• Class replacement project pre-implementation review*	<div><div></div></div>	15%	○
• Construction procurement process assessment	<div><div></div></div>	15%	○
• IT security assessment	<div><div></div></div>	10%	○

Internal audit activities – January – June 2019

- IT portfolio management and project management process assessment (reporting)
- Housing process assessment (reporting)
- Health and safety assessment (reporting)
- Class replacement project pre-implementation review* (fieldwork)
- Construction procurement process assessment (fieldwork and reporting)
- IT security assessment (fieldwork and reporting)

Other activities

- Internal audit plan refresh for 2019-2022 underway
- Prepare Audit Committee meeting materials
- Observation follow-ups and validation

2017-2018 Performance metrics

Project customer satisfaction

Overall quality of work/satisfaction level? (Based on completed reports surveys returned)



% complete of the 2017-2018 internal audit plan

77% complete



Internal audit 2017-2018 reporting

	Draft (days)	Management comment (days)	Issue final (days)	Final (days)
• Objective	5.0	15.0	10.0	30.0
• Performance	6.7	19.9	16.6	43.2

* - Moved to a pre-implementation review at request of management and approval of the Audit Committee. Fieldwork will be conducted throughout the implementation project.