

The Corporation of the City of London

June 2017 - December 2018 internal audit dashboard as at October 29, 2018

Project status – 2017-2018 Internal audit plan			
2017-2018 Audit plan projects	Percent complete		Report issued
• Parks & Recreation cash handling process review	<div style="width: 100%;"></div>	100%	✓
• Freedom of information process assessment	<div style="width: 100%;"></div>	100%	✓
• Management compensation process assessment	<div style="width: 100%;"></div>	100%	✓
• Building permit process assessment	<div style="width: 100%;"></div>	100%	✓
• Parking revenue generation assessment	<div style="width: 100%;"></div>	100%	✓
• Homeless prevention assessment	<div style="width: 100%;"></div>	100%	✓
• Procurement process assessment	<div style="width: 100%;"></div>	100%	✓
• IT portfolio management and project management assessment	<div style="width: 80%;"></div>	80%	○
• Housing process assessment	<div style="width: 15%;"></div>	15%	○
• Health and safety assessment	<div style="width: 10%;"></div>	10%	○
• Class replacement project post-implementation review*	<div style="width: 10%;"></div>	10%	○
• Construction procurement process assessment	<div style="width: 10%;"></div>	10%	○
• IT security assessment	<div style="width: 10%;"></div>	10%	○

Internal audit activities – November 2018 – January 2019				
<ul style="list-style-type: none"> • IT portfolio management and project management process assessment (reporting) • Housing process assessment (fieldwork and reporting) • Health and safety assessment (fieldwork and reporting) • Class replacement project post-implementation review* (scoping) • Construction procurement process assessment (fieldwork and reporting) • IT security assessment (fieldwork and reporting) 				
Other activities				
<ul style="list-style-type: none"> • Prepare Audit Committee meeting materials • Observation follow-ups and validation • Internal audit plan refresh for 2019-2021 (January 2019) 				
2017-2018 Performance metrics				
Project customer satisfaction Overall quality of work/satisfaction level? (Based on completed reports surveys returned)		% complete of the 2017-2018 internal audit plan 64% complete		
<p>1 3 5</p> <p>Objective = 4</p>				
Internal audit 2017-2018 reporting				
	Draft (days)	Management comment (days)	Issue final (days)	Final (days)
• Objective	5.0	15.0	10.0	30.0
• Performance	6.7	19.9	16.6	43.2

* - Moved to a pre-implementation review at request of management and approval of the Audit Committee.