

## The Corporation of the City of London

June 2017- December 2018 internal audit dashboard as at November 15, 2017

### Project status – 2017-2018 Internal audit plan

| 2017-2018 Audit plan projects  | Percent complete                      | Report issued                       |
|--|---------------------------------------|-------------------------------------|
| <input type="checkbox"/> Parks & Recreation cash handling process review           | <div style="width: 100%;"></div> 100% | <input checked="" type="checkbox"/> |
| <input type="checkbox"/> Freedom of information process assessment                 | <div style="width: 95%;"></div> 95%   | <input type="checkbox"/>            |
| <input type="checkbox"/> Management compensation process assessment                | <div style="width: 50%;"></div> 50%   | <input type="checkbox"/>            |
| <input type="checkbox"/> Building permit process assessment                        | <div style="width: 20%;"></div> 20%   | <input type="checkbox"/>            |
| <input type="checkbox"/> IT portfolio management and project management assessment | <div style="width: 0%;"></div> 0%     | <input type="checkbox"/>            |
| <input type="checkbox"/> Homelessness prevention management process assessment     | <div style="width: 0%;"></div> 0%     | <input type="checkbox"/>            |
| <input type="checkbox"/> Health and safety assessment                              | <div style="width: 0%;"></div> 0%     | <input type="checkbox"/>            |
| <input type="checkbox"/> Procurement process assessment                            | <div style="width: 0%;"></div> 0%     | <input type="checkbox"/>            |
| <input type="checkbox"/> IT security assessment                                    | <div style="width: 0%;"></div> 0%     | <input type="checkbox"/>            |
| <input type="checkbox"/> Parking revenue generation assessment                     | <div style="width: 0%;"></div> 0%     | <input type="checkbox"/>            |
| <input type="checkbox"/> Rapid transit project                                     | <div style="width: 0%;"></div> 0%     | <input type="checkbox"/>            |
| <input type="checkbox"/> Housing process assessment                                | <div style="width: 0%;"></div> 0%     | <input type="checkbox"/>            |
| <input type="checkbox"/> Class replacement project post-implementation review      | <div style="width: 0%;"></div> 0%     | <input type="checkbox"/>            |

### Internal audit activities – November 2017 – December 2017

- Freedom of information process assessment (reporting)
- Management compensation process assessment (fieldwork and reporting)
- Building permit process assessment (fieldwork and reporting)
- Parking revenue generation assessment (scoping)

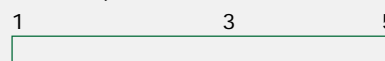
### Other activities

- Prepare quarterly Audit Committee meeting materials
- Observation follow-ups and validation (quarterly)

### 2017-2018 Performance metrics

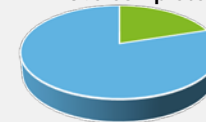
#### Project customer satisfaction

Overall quality of work/satisfaction level?  
(Based on completed reports surveys returned)



#### % complete of the 2017-2018 internal audit plan

20% complete



### Internal audit 2017-2018 reporting

|                                      | Draft (days) | Management comment (days) | Issue final (days) | Final (days) |
|--------------------------------------|--------------|---------------------------|--------------------|--------------|
| <input type="checkbox"/> Objective   | 5.0          | 15.0                      | 10.0               | 30.0         |
| <input type="checkbox"/> Performance | 2.0          | 10.0                      | 3.0                | 15.0         |