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# *The Corporation of the City of London*

Summary of internal  
audit projects from  
2011 to 2015

February 23, 2016



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# Summary of internal audit projects by service area

Service Area	Year	Project Description	# of Findings	Value for \$ <sup>1</sup>
City of London	2014	- Shared services review	9	-
Corporate Services - Finance & IT	2011	- Information and security governance assessment & JDE IT general controls - Procurement Bid Process	25	-
	2012	- Purchasing cards - Expenditure approval and payment - Payroll administration	18	-
	2013	- Budgeting process - Facilities & property utilization - Property tax assessments & collections - IT project management & utilization	37	225,000
Corporate Services - Human Resources	2011	- Time-off provisions	7	-
Development & Compliance Services	2011	- Development approvals	8	-
	2012	- Building control	8	500,000
	2015	- By-law enforcement and licensing	14	170,000
	2015	- Process and approvals on inspections of site plans & subdivisions	4	42,000
Engineering & Environmental Services	2011	- Water & sewage revenue - Fleet asset management	27	3,140,000
	2012	- Contract and tendering administration	8	425,000
	2014	- Landfill review process	2	-
	2014	- Solid waste collection and recycling process review	8	720,000
	2015	- Roads and transportation - capital budget development and project costing	5	400,000
	2015	- Roads and transportation - project management and resource utilization	4	-
Housing & Social Services	2011	- Housing Division	13	250,000
	2012	- Financial management	8	-

<sup>1</sup> This is a summary of the estimated cost savings or additional revenue available to the City that are estimable and could result from the implementation of internal audit recommendations. Quantifications have been estimated based on information provided by City management and actual cost savings/revenues could differ from these estimates. This excludes other potential efficiencies which may exist through the implementation of other recommendations, and savings that could not be quantified or relate to recommendations presented in-camera.

	2014	- Housing access center process review	7	50,000
London & Middlesex Housing Corp.	2013	- Organizational review - Purchased services review	38	-
London Convention Centre	2013	- Revenue & growth opportunities	7	600,000
London Public Library	2013	- Revenue base & fee structure	7	200,000
London Transit Commission	2013	- Payroll & time-off provisions	5	-
Long-term care	2011	- Long-term care compliance	4	-
Middlesex London Health Unit	2013	- Efficiency & shared services review	8	-
Parks & Recreation	2012	- Health and safety	7	10,000
	2013	- Revenue strategies	9	555,000
	2015	- Cost structure and delivery model	3	-
Planning	2013	- Urban forestry & planning application	14	95,000
The London Police Services Board	2015	- Revenue-generating opportunities	14	95,000
		- Personnel costs		
		- Budgeting process		

# Summary of internal audit projects by year

Year	Service Area	Project Description	# of findings	Value for \$ <sup>2</sup>
2011	Corporate Services - Finance & IT	- Information and security governance assessment & JDE IT general controls - Procurement Bid Process	25	-
	Corporate Services - Human Resources	- Time-off provisions	7	-
	Development & Compliance Services	- Development approvals	8	-
	Engineering & Environmental Services	- Water & sewage revenue - Fleet asset management	27	3,140,000
	Housing & Social Services	- Housing Division	13	250,000
	Long-term care	- Long-term care compliance	4	-
2012	Corporate Services - Finance & IT	- Purchasing cards - Expenditure approval and payment - Payroll administration	18	-
	Development & Compliance Services	- Building control	8	500,000
	Engineerin & Environmental Services	- Contract and tendering administration	8	425,000
	Housing & Social Services	- Financial management	8	-
	Parks & Recreation	- Health and safety	7	10,000
	Corporate Services - Finance & IT	- Budgeting process - Facilities & property utilization - Property tax assessments & collections - IT project management & utilization	37	225,000
	London & Middlesex Housing Corp.	- Organizational review - Purchased services review	38	-
	London Convention Centre	- Revenue & growth opportunities	7	600,000
	London Public Library	- Revenue base & fee structure	7	200,000
	London Transit Commission	- Payroll & time-off provisions	5	-
	Middlesex London Health Unit	- Efficiency & shared services review	8	-
	Parks & Recreation	- Revenue strategies	9	555,000
	Planning	- Urban forestry & planning application	14	95,000
2014	City of London - organizational	- Shared services review	9	-

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	Engineering & Environmental Services	- Landfill review process	2	-
	Engineering & Environmental Services	- Solid waste collection and recycling process review	8	720,000
	Housing & Social Services	- Housing access center process review	7	50,000
2015	Development & Compliance Services	- By-law enforcement and licensing	14	170,000
	Development & Compliance Services	- Process and approvals on inspections of site plans & subdivisions	4	42,000
	Engineering & Environmental Services	- Roads and transportation - capital budget development and project costing	5	400,000
	Engineering & Environmental Services	- Roads and transportation - project management and resource utilization	4	-
	Parks & Recreation	- Cost structure and delivery model	3	-
	The London Police Services Board	- Revenue-generating opportunities - Personnel costs - Budgeting process	14	95,000

