

Committee: **Striking Committee**

Organization/Sector represented: **N/A**

Name: **Colleen Waring**

Address:

**74 Camberdale Place  
London Ontario  
N6K4A1**

Occupation: **Financial Advisor / Branch Manager / Canadian Forces Reservist**

Work experience: **I am currently employed full time as a Financial Advisor and Branch Manager with PEAK Investment Services Inc. I supervise 19 Advisors across Ontario and Alberta in addition to maintaining my own clients providing financial advice and guidance. As Tier 1 compliance I ensure the Advisors are up to date with the current Mutual Fund Dealers Association rules and regulations. I review all paperwork, trades, and send any compliance inquiries to Advisors. Annually I audit the individual offices. I am also employed part time as a reservist with the Canadian Forces, 31 Service Battalion in London, as the Supply Section Commander. I assist in planning and coordinating training for Supply Section.**

Education: **I've completed: - 4 year degree in Women's Studies from UWO - Mutual Funds license - Life Insurance license - Branch Manager license - CFP designation (Certified Financial Planner) - Level 1 CGA - currently enrolled in Canadian Securities Course - Basic CPR - Military Leadership Training Course (PLQ) - Supply Trade Qualifications**

Skills: **I am familiar with reviewing applications, background checks, interviews, rules and regulations.**

Interest reason: **I'm involved in the community on varying levels and want to continue contributing to London. As a member of the Canadian Forces I am unable to join any political organizations, the Striking Committee is an opportunity to be involved in the City of London without political implications.**

Contributions: **I believe I can contribute my experience in people management to the Striking Committee.**

Past contributions: **I am a past organizer of the military charity Toys For Tots (2003-2010), I served as the community liason organizing all the submissions from local social service agencies. I am a current Industry Representative with the MFDA on their Hearing Panel for Advisors in South Western Ontario who are being disciplined.**

Interpersonal: **In both roles as a Branch Manager and Supply Section Commander I'm expected to relay information and act as a liason between groups, this often includes working between disagreeing parties and finding a reasonable solution. In Supply, it's expected that I recognize and utilize individual soldiers strengths and implement them in a manner that benefits the whole.**

Interview interest: **Yes**