

<b>TO:</b>	<b>CHAIR AND MEMBERS AUDIT COMMITTEE</b>
<b>FROM:</b>	<b>JOSEPH EDWARD CHIEF TECHNOLOGY OFFICER</b>
<b>SUBJECT:</b>	<b>TSD (ITS) IT GOVERNANCE &amp; JDE IT GENERAL CONTROLS - PROGRESS UPDATE TO AUDIT COMMITTEE</b>
<b>DATE:</b>	<b>DECEMBER 5, 2013</b>

<b>RECOMMENDATION</b>
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That the following report **BE RECEIVED** for information outlining the progress ITS has made to date on IT Governance.

<b>PREVIOUS REPORTS PERTINENT TO THIS MATTER</b>
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- TSD IT Governance & JDE IT General Controls, Report by PWC – December 7, 2011

<b>BACKGROUND</b>
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On December 7, 2011 PWC presented their findings related to the review of IT Governance & JDE IT General Controls. Since the JDE IT General Controls have been reported on previously, this report focuses on IT Governance. As a result of this review there were 32 recommendations in varying priorities that were recommended for implementation.

Appendix A describes the progress that has been accomplished to date.

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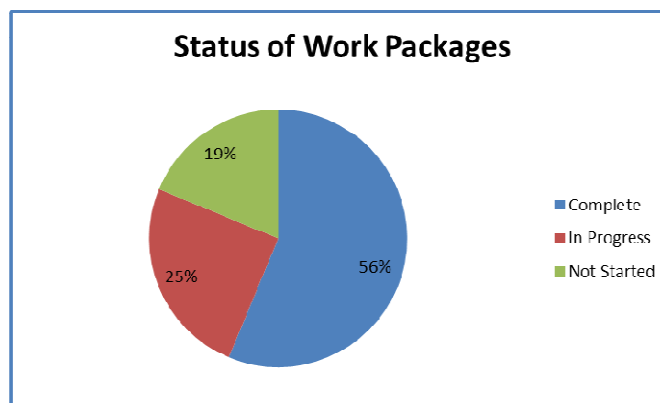
# Appendix A – TSD IT Governance Review Report to Audit Committee December 5, 2013

## STATISTICAL ANALYSIS OF PROGRESS

From the recommendations in the PWC report, 32 tasks or work packages were identified. Of these 32 items:

- 18 are complete
- 8 are in progress
- 6 are not started

Figure 1 – Status of Work Packages



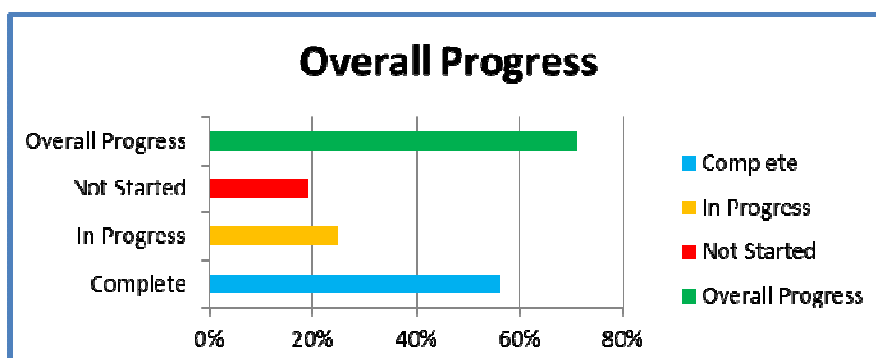
## HIGH LEVEL ANALYSIS OF PROGRESS

With approximately 56% of the items completed, progress has been significant. However, that still leaves approximately 44% incomplete. The 'In progress' items overall are estimated to be approximately 60% complete.

When the above numbers are combined, this results in an overall progress report of approximately 71% complete.

Some of the 'In Progress' items are long term. Some may never be marked as 'Complete' as they will be ongoing, and will require changes and improvements over time.

Figure 2 – Overall Progress



Of the items 'Not Started', some of them are waiting on other projects. For example, 'Document backup and restore procedures' will be done inside the Storage & Backup Modernization project.

Significant work has already been done on this project and the vendor and technology have been selected. The recommendation will be presented to Corporate and Administrative Services committee on November 26, 2013, and we expect work to begin in early 2014.

It should be noted that backup and restore procedures are currently documented and in place but not to the extent that PWC has requested.

### **SIGNIFICANT ACCOMPLISHMENTS**

The following is a list of significant accomplishments related to this initiative:

- Three Year IT Strategy developed and approved at all levels
- Three Year Technology Roadmap developed to align with strategy
- Project Management Office raised to OPM3 Level 2, and on the way to Level 3
- IT Internal Governance framework in place and communicated across Division
- Corporate IT Steering Committee formed and communicated across Corporation
- 30 month IT Master Portfolio Plan developed & approved
- Prioritization model developed and implemented to ensure strategic projects have priority

### **SUMMARY**

We have made significant progress in the last two years. As always, we hoped for more, but we will continue to press forward and complete as many items as possible, in effective and appropriate ways.

The Corporate IT Steering Committee has been one of our greatest successes. This group has worked tirelessly over the last 10 months to develop the IT Master Plan for the next few years. Other tasks will be taken on by this group as well as monitoring, maintaining and reporting on the Master Plan. This will include looking at Portfolio Selection and Management.